

SHEET 1 OF 11

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. GENENT.2827A2	APPLICATION NO. 09/759,056
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY)		APPLICANT Pennica et al	
		FILING DATE January 11, 2001	GROUP 1645

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
msh	1.	3,773,919	11.20.73	Boswell et al.			
	2.	4,179,337	12.18.79	Davis et al.			
	3.	4,275,149	6.23.81	Lilman et al.			
	4.	4,399,216	8.16.83	Axel et al.			
	5.	4,485,045	11.27.84	Regen			
	6.	4,496,689	1.20.85	Mitra			
	7.	4,544,545	10.1.85	Ryan et al.			
	8.	4,640,835	2.9.87	Shimizu et al.			
	9.	4,657,760	4.14.87	Kung et al.			
	10.	4,670,417	6.2.87	Iwasaki et al.			
	11.	4,676,980	6.30.87	Segal et al.			
	12.	4,736,866	4.12.88	Leder et al.			
	13.	4,791,192	12.13.88	Nakagawa et al.			
	14.	4,816,567	3.28.89	Cabilly et al.			
	15.	4,870,009	9.26.89	Evans et al.			
	16.	4,873,191	10.10.89	Wagner et al.			
	17.	4,943,529	7.24.90	Van Den Berg et al.			
	18.	4,975,278	12.4.90	Senter et al.			
	19.	5,010,182	4.23.91	Brake et al.			
	20.	5,013,556	5.7.91	Woodie et al.			
	21.	5,122,469	8.16.92	Mather et al.			
	22.	5,206,344	4.27.93	Katre et al.			
	23.	5,225,212	7.6.93	Martin et al.			
	24.	5,428,130	6.27.95	Capon et al.			
	25.	5,545,806	8.13.98	Lonberg et al.			
	26.	5,545,807	8.13.98	Surani et al.			

EXAMINER	DATE CONSIDERED

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SHEET 2 OF 11

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. GENENT.2827A2	APPLICATION NO. 09/759,056
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U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
<i>mm</i>	27.	5,569,825	10.29.96	Lonberg et al.			
	28.	5,625,126	4.29.97	Lonberg et al.			
	29.	5,633,425	5.27.97	Lonberg et al.			
	30.	5,654,010	8.5.97	Johnson et al.			
	31.	5,661,016	8.26.97	Lonberg et al.			

FOREIGN PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	32.	EP 03089	05.30.90	EP				
	33.	EP 117,058	08.29.84	EP				
	34.	EP 117,060	08.29.84	EP				
	35.	EP 139,383	05.02.85	EP				
	36.	EP 307,247	03.15.88	EP				
	37.	EP 36,776	09.30.81	EP				
	38.	EP 362,179	04.04.80	EP				
	39.	EP 394,538	10.31.80	EP				
	40.	EP 404,097	12.27.90	EP				
	41.	EP 616,812	09.28.84	EP				
	42.	EP 073,657	03.09.83	EP				
	43.	WO 81/01145	4.20.81	PCT				
	44.	WO 87/05330	9.11.87	PCT				
	45.	WO 84/03564	9.13.84	PCT				
	46.	WO 88/07378	10.6.88	PCT				
	47.	WO 89/05859	6.29.89	PCT				
	48.	WO 90/10048	9.7.90	PCT				
	49.	WO 90/13641	11.15.90	PCT				
<i>✓</i>	50.	WO 90/13646	11.15.90	PCT				

EXAMINER <i>U. Pennica</i>	DATE CONSIDERED <i>6/8/02</i>
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SHEET 3 OF 11

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. GENENT.2827A2	APPLICATION NO. 09/759,058
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FOREIGN PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	51.	WO 91/00357	1.10.91	PCT				
	52.	WO 91/00360	1.10.91	PCT				
	53.	WO 91/04753	4.18.91	PCT				
	54.	WO 93/11161	8.10.93	PCT				
	55.	WO 93/18186	9.16.93	PCT				
	56.	WO 94/11026	5.26.94	PCT				
	57.	WO 96/07399	3.14.96	PCT				
	58.	WO 96/27011	9.6.96	PCT				
	59.	WO 96/40072	12.19.96	PCT				
	60.	WO 97/03692	2.9.97	PCT				
	61.	WO 97/33551	9.18.97	PCT				

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	64.	Anderson et al., "Direct Interactions of Coxsackievirus B3 with Immune Cells in the Splenic Compartment of Mice Susceptible or Resistant to Myocarditis" <u>Science</u> 256, 808-813 (1992)
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	71.	Bishop, Michael J., "Molecular Themes in Oncogenesis" <u>Cell</u> , 64:235-248 (1991)
	72.	Buerner et al., "Production of antigen-specific human monoclonal antibodies from in vitro-primed human splenocytes" <u>J. Immunol.</u> , 147(1):86-95 (1991)

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SHEET 4 OF 11

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. GEMENT.2827A2	APPLICATION NO. 09/759,056
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EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
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SHEET 5 OF 11

FORM PTO-1448	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. GENENT.2827A2	APPLICATION NO. 09/759,056
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		FILING DATE January 11, 2001	GROUP 1645

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
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99.	Easwaran et al., "Cross-regulation of -catenin-LEF/TCF and retinoid signaling pathways" <u>Curr. Biol.</u> 9:1415-1418 (1999)
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FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. GENENT.2827A2	APPLICATION NO. 09/759,056
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EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
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SHEET 7 OF 11

FORM PTO-1440	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY DOCKET NO. GENENT.2827A2	APPLICATION NO 09/759,058
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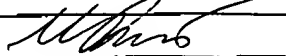
EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
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EXAMINER: INITIAL IF CITATION CONSIDERED. WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 808; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	

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FORM PTO-1449	U S DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. GENENT.2827A2	APPLICATION NO 09/759,056
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY)		APPLICANT Pennica et al	
		FILING DATE January 11, 2001	GROUP 1645

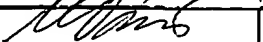
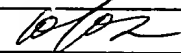
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FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. GENENT.2827A2	APPLICATION NO. 09/759,056
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY)		APPLICANT Pennica et al	
		FILING DATE January 11, 2001	GROUP 1646

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*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 608; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.			

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FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. GENENT.2827A2	APPLICATION NO. 09/759,056
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY)		APPLICANT Pennica et al	
		FILING DATE January 11, 2001	GROUP 1845

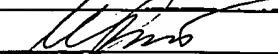
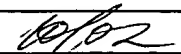
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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. GENENT.2827A2	APPLICATION NO. 08/759,058
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY)	APPLICANT Pennica et al	
	FILING DATE January 11, 2001	GROUP 1645

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
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